

Schedule of Services Charges 服務收費表

ITEMS 項目	CHARGES	收費
Trading Transaction Charges 交易費用		
Commission 經紀佣金	0.25% on gross transaction value (min HK\$100)	交易金額的 0.25% (最低為港幣 100 元)
Stamp Duty 印花稅	0.1% on gross transaction value (round up to the nearest dollar)	交易金額的 0.1% (不足 1 元亦作 1 元計)
Transaction Levy (SFC) 交易徵費 (證監會)	0.0027% on gross transaction value	交易金額的 0.0027%
Trading Fee (HKEX) 交易費 (港交所)	0.005% on gross transaction value	交易金額的 0.005%
CCASS Clearing Fee 中央結算交收費	0.002% on gross transaction value (min HK\$2, max HK\$100)	按交易金額計 0.002% (最低港幣 2 元, 最高港幣 100 元)
Physical Stock Withdrawal/Deposit Charges 實物股票進存費用		
Physical Stock Deposit 存入股票實物	Free of charge	免費
Physical Stock Withdrawal 提取股票實物	HK\$5 per board / odd lot (min HK\$100 per stock)	每手港幣 5 元(每隻股票最低港幣 100 元) (不足一手之碎股亦作一手計)
Transfer Deed Stamp Fee (HKSAR) 轉讓書印花稅 (香港政府)	HK\$5 for each Transfer Deed or Certificate	每張股票/轉讓書 港幣 5 元
Settlement Instruction Charges Via CCASS (S.I.) 經中央結算系統之交收指示費用		
Deposit Instruction 股票存入費	Free of charge	免費
Delivery Instruction 股票提貨費	HK\$5 per board / odd lot (min HK\$100 per stock)	每手港幣 5 元(每隻股票最低港幣 100 元) (不足一手之碎股亦作一手計)
RDP Real Time Delivery Vs Payment 即時貨銀對付	HK\$200 per stock	每隻股票港幣 200 元
Investor Settlement Instruction Charges Via CCASS (I.S.I.) 個人投資者賬戶之交收指示費用(經中央結算系統)		
Deposit Instruction 股票存入費	Free of charge	免費
Delivery Instruction 股票提貨費	HK\$5 per board / odd lot (min HK\$100 per stock)	每手港幣 5 元(每隻股票最低港幣 100 元) (不足一手之碎股亦作一手計)
Real Time Delivery Vs Payment 即時貨銀對付	HK\$200 per stock	每隻股票港幣 200 元
Nominee Services and Corporate Actions 代理人服務及企業行動		
Scrip Fee (Book Close) 登記過戶費 (在截止過戶日期)	HK\$2.5 per board / odd lot	每手港幣 2.5 元 (不足一手之碎股亦作一手計)
Deemed Scrip Fee 年終過戶費	No book closed for 1 year HK\$0.75 per board / odd lot	一年未有截止過戶到年終計 每手港幣 0.75 元 (不足一手之碎股亦作一手計)
Cash Dividend Collection 代收取現金股息	Handling charges 1% on gross dividend amount (min HK\$20)	手續費按所收股息金額 1% 計算 (最低港幣 20 元)
Scrip Dividend Collection 代收取股票股息	HK\$3 per board / odd lot (min HK\$20)	每手港幣 3 元 (最低港幣 20 元) (不足一手之碎股亦作一手計)
Bonus Entitlement (shares, options, warrants & bonds) 紅利派送 (包括股票、股權、認股證及債券)	HK\$1.5 per board / odd lot (min HK\$20)	每手港幣 1.5 元 (最低港幣 20 元) (不足一手之碎股亦作一手計)
Corporate Actions (including warrants exercise, rights subscription and cash/stock offer, etc.) 代履行權貴服務費(包括行使認股證及認股權證和收購行動等)	Handling Fee HK\$100 + HK\$1 per board / odd lot (min HK\$20, max HK\$50,000)	手續費港幣 100 元 + 每手港幣 1 元 (最低港幣 20 元, 最高港幣 50,000 元) (不足一手之碎股亦作一手計)
Handling Charge for Unclaimed Entitlement 索回未領取股份權益手續費	Handling Charges of HK\$500 per claim and out-of-pocket expenses	每宗港幣 500 元 + 其他相關費用
Custody Related Service Charges 托管及有關之收費		
Custody Fee* 託管費(倉費)*	Accounts with no any transaction in the latest 6 months, HK\$100 will be charged and an extra HK\$150 for those with holdings and/or cash.	如賬戶於最近半年內無任何交易, 會被收取港幣 100 元, 如當中賬戶持有或存有現金, 另收取港幣 150 元。
IPO Application 新股申請		
IPO Application 新股申請	HK\$100 per application and other related charges	每單港幣 100 元 及其他交易相關費用
Other Services Charges 其他費用		
Compulsory Share Buy Back 強制性補購股份 (中央結算)	0.5% of securities value (closing price on settlement day)	按股票總值的 0.5% (交收日之收市價)
Reprint Monthly Statements 補發月結單	Within 3 months - Free of charge For past 3-12 months - HK\$50 per statement For past 1-3 years - HK\$100 per statement For past 3-7 years - HK\$500 per statement	最近三個月- 免費 多於三個月至一年- 每張港幣 50 元 多於一年至三年- 每張港幣 100 元 多於三年至七年- 每張港幣 500 元
Proof of Asset 資產證明	HK\$500 out-of-pocket expenses	每宗港幣 500 元+其他相關費用
Registration of Physical Stock transfer into own name 代客以私人名義之過戶登記	HK\$5.5 per certificate (min HK\$20)	每張港幣 5.5 元, 最低港幣 20 元
Fee for Change of Registration at Share Registrar 股份登記處辦理股份轉名手續費	HK\$200 per request	每次港幣 200 元
Overdue Interest Charge on Cash Account 逾期交收利息 (現金賬戶)	Prime Rate +6%	最優惠利率 +6%
Loan Interest Charge on Margin Account 借貸交收利息 (保證金賬戶)	Prime Rate +3%	最優惠利率 +3%
HKD Transfer 港元電匯	Local (CHATS) : HK\$300 Overseas (T/T) : HK\$350 + correspondent bank's charges (if applicable)	本地 (CHATS) : 港幣 300 元 外地 (T/T) : 港幣 350 元 + 海外受款銀行收費 (如適用)
Bank Cheque Stop Payment as requested by Client 客戶指示止付支票	HK\$160 per cheque	每張港幣 160 元
Returned Cheque 退回支票	HK\$150 per cheque + penalty interest	每宗港幣 150 元 + 應罰利息
Cash / Cheque Settlement 款項交收	Free of charge	免費

*The custody fee will be charged semi-annually at 30 Jun and 31 Dec or the last trading day prior to the aforementioned dates if those are not trading days. Subject to changes without prior notice.

*每年 6 月 30 日及 12 月 31 日按當日結存以半年計, 如該日並非交易日則以該月最後交易日計算。所有收費如有調整, 恕不另行通告。

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