

Schedule of Services Charges 服務收費表

ITEMS 項目	CHARGES	收費
Trading Transaction Charges 交易費用		
Commission (Phone Trading) 經紀佣金 (電話交易)	0.15% on gross transaction value (min HK\$50)	交易金額的 0.15% (最低為港幣 50 元)
Commission (Electronic Trading) 經紀佣金 (電子交易)	0.10% on gross transaction value (min HK\$50)	交易金額的 0.10% (最低為港幣 50 元)
Stamp Duty 印花稅	0.13% on gross transaction value (round up to the nearest dollar)	交易金額的 0.13% (不足 1 元亦作 1 元計)
Transaction Levy (SFC) 交易徵費 (證監會)	0.0027% on gross transaction value (rounded to the nearest cent)	交易金額的 0.0027% (計至最接近的仙位數)
Transaction Levy (FRC) 交易徵費 (財務匯報局)	0.00015% on gross transaction value (rounded to the nearest cent)	交易金額的 0.00015% (計至最接近的仙位數)
Trading Fee (HKEX) 交易費 (港交所)	0.00565% on gross transaction value (rounded to the nearest cent)	交易金額的 0.00565% (計至最接近的仙位數)
CCASS Clearing Fee 中央結算交收費	0.002% on gross transaction value (min HK\$2, max HK\$100)	按交易金額計 0.002% (最低港幣 2 元, 最高港幣 100 元)
Physical Stock Withdrawal/Deposit Charges 實物股票提存費用		
Physical Stock Deposit 存入股票實物	Free of charge	免費
Physical Stock Withdrawal 提取股票實物	HK\$5 per board / odd lot (min HK\$100 per stock)	每手港幣 5 元 (每隻股票最低港幣 100 元) (不足一手之碎股亦作一手計)
Transfer Deed Stamp Fee (HKSAR) 轉讓書印花稅 (香港政府)	HK\$5 for each Transfer Deed or Certificate	每張股票 / 轉讓書 港幣 5 元
Settlement Instruction Charges Via CCASS (S.I.) 經中央結算系統之交收指示費用		
Deposit Instruction 股票存入費	Free of charge	免費
Delivery Instruction 股票提貨費	HK\$5 per board / odd lot (min HK\$100 per stock)	每手港幣 5 元 (每隻股票最低港幣 100 元) (不足一手之碎股亦作一手計)
RDP Real Time Delivery Vs Payment 即時貨銀對付	HK\$200 per stock	每隻股票港幣 200 元
Investor Settlement Instruction Charges Via CCASS (I.S.I.) 個人投資者賬戶之交收指示費用 (經中央結算系統)		
Deposit Instruction 股票存入費	Free of charge	免費
Delivery Instruction 股票提貨費	HK\$5 per board / odd lot (min HK\$100 per stock)	每手港幣 5 元 (每隻股票最低港幣 100 元) (不足一手之碎股亦作一手計)
Real Time Delivery Vs Payment 即時貨銀對付	HK\$200 per stock	每隻股票港幣 200 元
Nominee Services and Corporate Actions 代理人服務及企業行動		
Scrip Fee (Book Close) 登記過戶費 (在截止過戶日期)	HK\$2.5 per board / odd lot	每手港幣 2.5 元 (不足一手之碎股亦作一手計)
Deemed Scrip Fee 年終過戶費	No book closed for 1 year HK\$0.75 per board / odd lot	一年未有截止過戶到年終計 每手港幣 0.75 元 (不足一手之碎股亦作一手計)
Cash Dividend Collection 代收取現金股息	Handling charges 1% on gross dividend amount (min HK\$20)	手續費按所收股息金額 1% 計算 (最低港幣 20 元)
Scrip Dividend Collection 代收取股票股息	HK\$3 per board / odd lot (min HK\$20)	每手港幣 3 元 (最低港幣 20 元) (不足一手之碎股亦作一手計)
Bonus Entitlement (shares, options, warrants & bonds) 紅利派送 (包括股票、股權、認股證及債券)	HK\$1.5 per board / odd lot (min HK\$20)	每手港幣 1.5 元 (最低港幣 20 元) (不足一手之碎股亦作一手計)
Corporate Actions (including warrants exercise, rights subscription and cash/stock offer, etc.) 代履行權費服務費 (包括行使認股證及認股權證和收購行動等)	Handling Fee HK\$100 + HK\$1 per board / odd lot (min HK\$20, max HK\$50,000)	手續費港幣 100 元 + 每手港幣 1 元 (最低港幣 20 元, 最高港幣 50,000 元) (不足一手之碎股亦作一手計)
Handling Charge for Unclaimed Entitlement 索回未領取股份權益手續費	Handling Charges of HK\$500 per claim and out-of-pocket expenses	每宗港幣 500 元 + 其他相關費用
Custody Related Service Charges 托管及有關之收費		
Custody Fee* 託管費 (倉費)*	Accounts with no any transaction in the latest 6 months, HK\$100 will be charged and an extra HK\$150 for those with holdings and/or cash.	如賬戶於最近半年內無任何交易, 會被收取港幣 100 元, 如當中賬戶持有或存有現金, 另收取港幣 150 元。
IPO Application 新股申請		
IPO Application 新股申請	HK\$100 per application and other related charges	每單港幣 100 元 及其他交易相關費用
Other Services Charges 其他費用		
Compulsory Share Buy Back 強制性補購股份 (中央結算)	0.5% of securities value (closing price on settlement day)	按股票總值的 0.5% (交收日之收市價)
Reprint Monthly Statements 補發月結單	Within 3 months - Free of charge For past 3-12 months - HK\$50 per statement For past 1-3 years - HK\$100 per statement For past 3-7 years - HK\$500 per statement	最近三個月 - 免費 多於三個月至一年 - 每張港幣 50 元 多於一年至三年 - 每張港幣 100 元 多於三年至七年 - 每張港幣 500 元
Proof of Asset 資產證明	HK\$500 out-of-pocket expenses	每宗港幣 500 元 + 其他相關費用
Registration of Physical Stock transfer into own name 代寄以私人名義之過戶登記	HK\$5.5 per certificate (min HK\$20)	每張港幣 5.5 元, 最低港幣 20 元
Fee for Change of Registration at Share Registrar 股份登記處辦理股份轉名手續費	HK\$200 per request	每次港幣 200 元
Overdue Interest Charge on Cash Account 逾期交收利息 (現金賬戶)	Prime Rate +6%	最優惠利率 +6%
Loan Interest Charge on Margin Account 借貸交收利息 (保證金賬戶)	Prime Rate +3%	最優惠利率 +3%
HKD Transfer (Fund Deposit) 港元轉帳 (存款)	Fees charged by the receiving bank (if any) will be deducted from the amount of deposits	收款銀行所收取的費用 (如有), 將會從存入款項中扣除
HKD Transfer (Fund Withdrawal) 港元轉帳 (提款)	Local (CHATS): HK\$300 per transaction Overseas (T/T): HK\$350 per transaction + correspondent bank's charges if applicable	本地 (CHATS): 每筆港幣 300 元 外地 (T/T): 每筆港幣 350 元 + 海外受款銀行收費 (如適用)
Bank Cheque Stop Payment as requested by Client 客戶指示止付支票	HK\$160 per cheque	每張港幣 160 元
Returned Cheque 退回支票	HK\$150 per cheque + penalty interest	每宗港幣 150 元 + 應罰利息
Cash / Cheque Settlement 款項交收	Free of charge	免費

*The custody fee will be charged semi-annually at 30 Jun and 31 Dec or the last trading day prior to the aforementioned dates if those are not trading days. Subject to changes without prior notice.

*每年 6 月 30 日及 12 月 31 日按當日結存以半年計, 如該日並非交易日期則以該月最後交易日計算。所有收費如有調整, 恕不另行通告。

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